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无监督学习-k均值聚类



MacQueen, J. (1967, June). Some methods for classification and analysis of multivariate observations. In *Proceedings* of the fifth Berkeley symposium on mathematical statistics and probability (Vol. 1, No. 14, pp. 281-297).



1996 : Density-based Clustering



Ester, M., Kriegel, H. P., Sander, J., & Xu, X. (1996, August). A density-based algorithm for discovering clusters in large spatial databases with noise. In Kdd (Vol. 96, No. 34, pp. 226-231).

Clustering by fast search and find of density peaks

local density : $\rho_i = \sum_i \chi(d_{ij} - d_c)$ $\chi(x) = 1$ if x < 0 and $\chi(x) = 0$ otherwise,

distance δ_i from points of higher density : $\delta_i = \min_{j:\rho_i > \rho_i} (d_{ij})$



Rodriguez, A., & Laio, A. (2014). Clustering by fast search and find of density peaks. Science, 344(6191), 1492-1496.



Rodriguez, A., & Laio, A. (2014). Clustering by fast search and find of density peaks. science, 344(6191), 1492-1496.



Limitations of shallow models



(a) USPS

(b) STL-10

(c) CIFAR-10

(d) MNIST

Deep clustering via AE



Deep clustering via AE



►DEC :

Xie, J., Girshick, R., & Farhadi, A. Unsupervised Deep Embedding for Clustering Analysis. ICML, 2016 (pp. 478-487).

 $\begin{bmatrix} 0.6, 0.4 \end{bmatrix} \rightarrow \begin{bmatrix} 0.8, 0.2 \end{bmatrix} \\ Q \qquad P$

Deep clustering via AE



Clustering	g results m	easured b	y ACC(%)				
Data	k-means	KM-cst	AE+KM	AE+KM-cst	DEC	IDEC	SDEC
USPS	65.67	68.18	70.28	71.87	75.81	75.86	76.39
STL-10	28.31	29.09	34.00	35.15	37.40	36.99	38.86
CIFAR-10	23.75	23.91	23.89	24.36	26.26	25.02	27.26
MNIST	52.98	54.27	74.09	75.98	84.94	83.85	86.11
20NG	33.77	33.89	40.81	47.71	50.11	53.63	78.12

Yazhou Ren et al., Semi-supervised Deep Embedded Clustering. Neurocomputing, 2019



Multi-View Clustering

While in real-world, an object can be always describe by multiple views. Conventional clustering methods only work on single-view data.



Self-Paced Learning

The difficulty levels of learning different instances vary extensively





Complex samples:



Self-Paced Learning

The difficulty levels of learning different instances vary extensively

Simple samples:



Result in the non-convex issue!

Complex samples:



Self-Paced Learning

Self-Paced Learning: Train the model from simplicity to complexity



Increasing λ progressively

Kumar et al., Self-paced learning for latent variable models, NIPS, 2010

L. Jiang, D. Meng et al., Easy samples first: Self-paced reranking for zero-example multimedia search. ACM MM, 2014

Y. Ren et al., Robust Softmax Regression for Multi-class Classification with Self-Paced Learning, IJCAI, 2017

L. Pan, S. Ai, Y. Ren, Z. Xu, Self-Paced Deep Regression Forests with Consideration on Underrepresented Samples, ECCV, 2020

Self-Paced Learning in Multi-View Clustering



Dual self-paced multi-view clustering, Z. Huang, **Y. Ren**, et al. Neural Networks, 2021

• Introduce the self-paced idea to both instance selection and feature selection

The fundamental form of multi-view clustering:

$$\min_{C^{v},B} \sum_{v=1}^{m} ||X^{v} - C^{v}B||_{F}^{2} \xrightarrow{\text{Applying self-paced learning}} \sum_{v=1}^{m} ||(X^{v} - C^{v}B)W^{v}||_{F}^{2} + f(W)$$
• Self-paced learning:
gradually increase the number
of samples to train the model.
• Conventional self-paced multi-view clustering:

$$\min_{C^{v},B,W^{v}} \sum_{v=1}^{m} ||(X^{v} - C^{v}B)W^{v}||_{F}^{2} + f(W)$$

The proposed model:

$$\begin{split} \min_{C^{v},B,w_{fea}^{v},w_{sam}^{v}} & \sum_{\nu=1}^{m} \eta(\nu) \| diag(\sqrt{w_{fea}^{v}})(X^{v} - C^{v}B) diag(\sqrt{w_{sam}^{i}}) \|_{F}^{2} \\ &+ \sum_{\nu=1}^{m} \sum_{i=1}^{d^{v}} \eta(\nu) f(w_{i_fea}^{v}, \lambda_{fea}^{v}) \\ &+ \sum_{\nu=1}^{m} \sum_{i=1}^{n} \eta(\nu) f(w_{i_sam}^{v}, \lambda_{sam}^{v}) \\ &\quad s.t. \quad w_{i_fea}^{v}, w_{i_sam}^{v} \in [0, 1], \\ &\quad b_{ij} \in \{0, 1\}, \sum_{i=1}^{k} b_{ij} = 1, \forall j = 1, 2, \dots, n \end{split}$$

For simplicity, in following parts, we denote:

$$diag(\sqrt{w_{sam}^{v}}) \longrightarrow W_{sam}^{v} \qquad diag(\sqrt{w_{fea}^{v}}) \longrightarrow W_{fea}^{v}$$

Algorithm 1 The DSMVC algorithm.

Input: Data set X^v , v = 1, 2, ..., m; Cluster number k.

Output: The final cluster center matrix C^{ν} , assignment matrix B, $\nu =$

- $1, 2, \ldots, m$.
- 1: Initialize C^{ν} and B by optimizing the fundamental MVC model.
- 2: Obtain $\eta(v)$.

3: repeat

- 4: for each view do
- 5: Update λ_{sam}^{v} to let more samples join the training.
- 6: Fix C^{ν} , W_{fea}^{ν} and B, update W_{sam}^{ν} .
- 7: end for
- 8: repeat
- 9: **for** each view **do**
- 10: Fix W_{sam}^v , W_{fea}^v and B, update C^v .
- 11: end for
- 12: update *B*.
- 13: **until** convergence or exceed the maximal number of iterations.
- 14: **for** each view **do**
- 15: Update λ_{fea}^{v} to let less features join the training.
- 16: Fix C^{v} , W_{sam}^{v} and B, update W_{fea}^{v} .
- 17: end for
- 18: repeat
- 19: **for** each view **do**
- 20: Fix W_{sam}^v , W_{fea}^v and B, update C^v .
- 21: end for
- 22: update *B*.
- 23: **until** convergence or exceed the maximal number of iterations.
- 24: until all data points are selected
- 25: **return** C^{v} and B, v = 1, 2, ..., m.

Experimental results :

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Table 2: Results on Handwritten numerals.

Table 3: Results on Cornell.

Table 4: Results on Texas.

Methods	ACC(%)	purity(%)	NMI(%)	Methods	ACC(%)	purity(%)	NMI(%)	Methods	ACC(%)	purity(%)	NMI(%)
KM(1)	57.71±4.98	63.71±3.69	60.27±2.41	KM(1)	42 70+2 14	44.96 ± 1.00	8 60+3 36	KM (1)	55.51±1.63	57.18±1.25	7.90+4.50
KM(2)	62.99 ± 6.72	65.38±5.03	64.38 ± 2.76	KM(2)	45.56 ± 5.87	48 56+3 58	1234 ± 542	KM(2)	55.56 ± 6.07	60.04 ± 4.61	16.18 ± 10.90
KM(3)	70.53 ± 7.27	73.38 ± 5.84	70.93 ± 3.59	KW(2)	43.30±3.87	40.50±5.50	12.34±3.42	$\mathbf{KM}(\mathbf{A} \mathbf{E}_{22})$	56.62 + 5.94	60.41 + 5.04	14.62 ± 10.29
KM(4)	38.09 ± 1.55	43.80±0.97	47.76±0.24	KM(Allfea)	47.47±6.42	49.69±5.01	13.54±6.96	Kivi(Allrea)	50.05±5.04	00.41±5.04	14.05±10.58
KM(5)	70.05 ± 6.89	72.56±6.36	70.38 ± 3.99	Co-train	40.62 ± 1.27	46.41±0.88	14.48 ± 1.40	Co-train	48.13 ± 2.75	58.00 ± 0.89	14.22 ± 1.78
KM(6)	52.10 ± 2.93	55.95 ± 2.33	50.01±1.82	Co-reg	42.39 ± 1.09	44.10±0.36	5.65 ± 2.45	Co-reg	53.37 ± 2.67	56.04±0.22	4.57 ± 1.89
KM(Allfea)	50.72 ± 4.17	56.01±2.44	57.37±1.64	MVKKM	41.64 ± 3.72	44.72±1.03	7.27±1.83	MVKKM	52.84 ± 5.63	56.84 ± 0.89	7.70 ± 3.71
Co-train	73.28 ± 5.87	74.92 ± 3.89	71.04 ± 2.15	RMVK	43.09 ± 1.72	45.13±0.87	10.28 ± 3.61	RMVK	57.47±2.27	59.16±2.77	16.05 ± 5.95
Co-reg	78.09 ± 6.89	80.63 ± 5.36	75.50 ± 2.91	AMGL	42.68 ± 0.40	43.81±0.26	3.74 ± 0.37	AMGL	56.13±0.43	56.84±0.25	5.43 ± 0.51
MVKKM	62.18 ± 3.34	65.56 ± 2.40	65.80 ± 1.19	CAMVC	44.10 ± 2.74	49.16±2.12	9.81 ± 4.95	CAMVC	59.23±4.49	60.71 ± 4.42	14.92 ± 9.54
RMVK	60.41 ± 5.50	63.04 ± 4.55	64.82 ± 2.10	MSPL	44.09 ± 3.18	4619+265	8 78+4 62	MSPL	56.68 ± 3.85	58.97 ± 2.94	11.30 ± 6.30
AMGL	81.22 ± 6.53	84.24±4.99	86.89±2.66	SDMVC	42.26 + 2.01	40.17 ± 2.03	15 22 12 42	SPMVC	56.90 ± 4.31	6234 ± 206	10.01 ± 3.64
CAMVC	74.98 ± 7.96	78.84 ± 6.90	78.07 ± 4.25	SPINIVC	42.30±2.91	40.33±2.32	15.25±2.42		50.90±4.51	02.34 ± 2.90	19.91±3.04
MSPL	80.26 ± 3.93	83.60±3.22	82.80±2.25	DSMVC-N	48.48±6.03	53.62±6.39	20.42 ± 7.34	DSMVC-N	57.77±7.41	05.99±5.04	25.72±0.03
SPMVC	80.15 ± 8.90	84.15±6.57	87.17±3.90	DSMVC	50.51±7.43	56.92±6.37	23.20 ± 6.28	DSMVC	58.13±5.72	68.59±3.65	28.64 ± 5.55
DSMVC-N	82.07±9.35	84.98±6.67	84.64±3.89								
DSMVC	83.27±5.69	86.42±2.53	87.53±4.69								

Table 5: Results on Washington.

Table 6: Results on Wisconsin.

Methods	ACC(%)	purity(%)	NMI(%)	Methods	ACC(%)	purity(%)	NMI(%)
KM(1)	49.80 ± 6.84	51.46±6.86	8.44±7.84	KM(1)	46.44±2.18	48.67±1.82	5.69±2.30
KM(2)	57.54±9.77	61.39 ± 9.64	25.36 ± 14.50	KM(2)	59.81±7.92	62.60 ± 8.70	28.97±12.41
KM(Allfea)	58.75±9.40	66.29 ± 8.68	26.23±12.89	KM(Allfea)	58.57±6.93	60.33 ± 7.68	25.95±11.35
Co-train	53.99 ± 2.25	62.93±1.22	19.30 ± 1.78	Co-train	42.58±1.89	52.57 ± 1.10	8.28±0.83
Co-reg	55.97 ± 2.95	58.64±4.19	16.68 ± 3.63	Co-reg	47.35±0.24	47.76±0.21	4.06 ± 0.37
MVKKM	48.39±1.85	49.49±1.83	8.65 ± 4.53	MVKKM	45.62 ± 2.88	48.03 ± 1.34	6.29±2.22
RMVK	58.41±8.11	60.10±8.19	18.92 ± 9.40	RMVK	47.95 ± 2.98	50.44 ± 2.27	7.62 ± 2.65
AMGL	47.26±0.20	48.26 ± 0.00	3.58 ± 0.32	AMGL	47.09±0.16	47.55 ± 0.00	4.03±0.31
CAMVC	58.97±10.57	60.81±10.62	22.53 ± 14.99	CAMVC	56.49±7.30	59.58±7.88	21.57±9.36
MSPL	52.67±7.99	54.16±7.95	13.75±11.15	MSPL	55.50 ± 6.85	57.66±6.79	21.87 ± 9.27
SPMVC	59.10±5.41	66.14±1.30	25.08±3.55	SPMVC	47.90±4.31	59.04 ± 2.53	20.37 ± 3.36
DSMVC-N	56.78±4.61	69.20±1.21	32.98±2.47	DSMVC-N	63.64±4.99	72.69±1.75	40.44 ± 2.77
DSMVC	60.09±5.66	69.96±1.99	35.01±2.93	DSMVC	64.45±4.61	73.82 ± 2.18	41.03±2.79

Our recent work

Non-Linear Fusion for Self-Paced Multi-View Clustering (NSMVC, ACM MM, 2021)

Motivation

1. The view quality issue in Multi-View Clustering (MVC) task.

2. The conventional linear-weighting approach.

3. The effectiveness of non-linear fusion in instance-level.

$$||A||_{2,1} = \sum_{i=1}^{n} \sqrt{\sum_{j=1}^{p} A_{ji}^2} = \sum_{i=1}^{n} ||a_i||$$



Corrupt view

Informative view

• In Non-Linear Fusion for Self-Paced Multi-view Clustering (NSMVC). We directly assigning different exponents to each view. By this way, our method alleviate the negative impact from the corrupt views while maintain the availability of more reliable views.

Model:

$$\sum_{v=1}^{m} \phi(v)^{\eta(v)}$$
s.t. $\phi(v) \ge 0, \quad \eta(v) \in (0, -1)$

In NSMVC, a more reliable view will be assigned with a larger $\eta(v)$, through this approach, the model is more sensitive to the variation to its corresponding $\emptyset(v)$. On the contrast, the corrupt views will be granted with much smaller $\eta(v)$ and even close to 0, which means its contribution to the loss function will close to the constant 1, thus it has little influence on the clustering result.

1

In NSMVC, we also apply SPL to further enhance the clustering performance. However, as the values of $\eta(v)$ in different views are not consistent, we cannot solve the proposed non-linear model by conventional SPL scenario that requires a regularizer.

To this end, we design a novel regularizer-free modality of SPL to define $\emptyset(v)$:

$$\phi(v) = \sum_{i=1}^{n} \phi_i(v) = \sum_{i=1}^{n} \lceil max(1 - l_i^v / \lambda^v, 0) \rceil \times l_i^v.$$

 l_i^v is computed by the following formula and its relationship with $\phi_i(v)$ can be described by the figure on the right side: $l_i^v = ||x_i^v - C^v b_i||_2^2$.

Therefore, $\emptyset(v)$ actually has the same selection result as the following formula in the conventional SPL manner: <u>n</u>

$$\min_{w^{v}} \sum_{i=1}^{\infty} w_{i}^{v} l_{i}^{v} - w_{i}^{v} \lambda^{v}$$

s.t. $w_{i}^{v} \in \{0, 1\}.$

 $w_i^v = 1$ only when $l_i^v \leq \lambda^v$.

Compared with the conventional SPL scenario, the novel regularizer-free SPL modality in our method is totally constituted with the loss from the samples and thus confirms the non-negativity of $\emptyset(v)$, which is essential to the non-linear model.



 $\emptyset(v)$ can be written in the form of the squared F-norm:

 $\phi(v) = ||(X^v - C^v B)diag(w^v)||_F^2,$

As the value of λ^{ν} controls the participation of the samples in the ν^{th} view, it actually reflects the quality of the view. Generally, the better views have smaller values of λ^{ν} and vice versa. With the constraint that $\eta(v) \in (0, 1]$, we simply set $\eta(v)$ as:

$$\eta(v) = \frac{\min_{u} \lambda^{u}}{\lambda^{v}}.$$

Therefore, the model of NSMVC and its corresponding solving algorithm are:

$$\min_{C^{v},B,w^{v}} \sum_{v=1}^{m} \left| \left| (X^{v} - C^{v}B) diag(w^{v}) \right| \right|_{F}^{2\min\lambda^{u}/\lambda^{v}}$$

Algorithm 1 The NSMVC Algorithm. **Input:** Data set X^{υ} , $\upsilon = 1, 2, ..., m$; Cluster number k. **Output:** The final cluster center matrix C^{υ} , assignment matrix B, $\upsilon =$ $1, 2, \ldots, m$. 1: Initialize C^{υ} and B randomly. 2: repeat for each view v do 3: Update λ^{υ} to let more samples join the training. 4: for each sample *i* do 5: Update $w_i^{\mathcal{U}} = 1$ if $l_i^{\mathcal{U}} <= \lambda^{\mathcal{U}}$, otherwise $w_i^{\mathcal{U}} = 0$. 6: end for 7: 8: end for Update $\eta(v)$ for each view. repeat 10: for each view v do 11: Fix $\eta(v)$, w^{v} and B, update C^{v} . 12: end for 13: Fix $\eta(v)$, C^{v} and w^{v} , update B. 14: until convergence or exceed the maximal number of iterations 16: until all data points are selected 17: return C^{v} and B, v = 1, 2, ..., m.

Table 1: Summary of the data sets used in the experiments.

View	Handwritten Numerals	MSRCv1	Cornell	Texas	Washington	Wisconsin
1	Profile correlations (216)	Color Moments (24)	Citation (195)	Citation (187)	Citation (230)	Citation (265)
2	Fourier coefficients (76)	HOG (576)	Content (1703)	Content (1398)	Content (2000)	Content (1703)
3	Karhunen coefficients (64)	GIST (512)	-	-	-	-
4	Morphological (6)	LBP (256)	-	-	-	-
5	Pixel averages (240)	Centrist (254)	-	-	-	-
6	Zernike moments (47)	-	-	-	-	-
# Samples	2000	210	195	187	230	265
# Classes	10	7	5	5	5	5

* Numbers in parentheses are the number of features in each view.

Table 2: Results on Handwritten Numerals.

Methods	ACC(%)	Purity(%)	NMI(%)
KM(1)	57.71 ± 4.98	63.71±3.69	60.27 ± 2.41
KM(2)	62.99 ± 6.72	65.38 ± 5.03	64.38 ± 2.76
KM(3)	70.53 ± 7.27	73.38 ± 5.84	70.93 ± 3.59
KM(4)	38.09 ± 1.55	43.80 ± 0.97	47.76 ± 0.24
KM(5)	70.05 ± 6.89	72.56 ± 6.36	70.38 ± 3.99
KM(6)	52.10 ± 2.93	55.95 ± 2.33	50.01 ± 1.82
KM(All)	50.72 ± 4.17	56.01 ± 2.44	57.37 ± 1.64
Co-train	73.28 ± 5.87	74.92 ± 3.89	71.04 ± 2.15
Co-reg	78.09 ± 6.89	80.63 ± 5.36	75.50 ± 2.91
MVKKM	62.18 ± 3.34	65.56 ± 2.40	65.80 ± 1.19
AMGL	81.22 ± 6.53	84.24 ± 4.99	86.89 ± 2.66
CAMVC	74.98 ± 7.96	78.84 ± 6.90	78.07 ± 4.25
MSPL	80.26 ± 3.93	83.60 ± 3.22	82.80 ± 2.25
SAMVC	75.37 ± 12.71	79.74 ± 11.91	82.62 ± 12.49
DMVC	79.91 ± 8.56	83.77 ± 6.72	$85.18 {\pm} 4.01$
NSMVC	$88.52 {\pm} 6.40$	90.53±4.63	89.10±2.25

Table 3: Results on MSRCv1.

Methods	ACC(%)	Purity(%)	NMI(%)
KM(1)	35.76 ± 2.38	37.88 ± 2.45	24.25 ± 2.50
KM(2)	62.69 ± 6.60	64.60 ± 5.59	54.16 ± 4.45
KM(3)	62.00 ± 5.52	64.90 ± 4.17	57.03 ± 3.74
KM(4)	47.29 ± 1.55	49.55 ± 0.97	41.38 ± 0.24
KM(5)	54.64 ± 6.79	55.55 ± 5.25	45.18 ± 3.19
KM(All)	46.29 ± 3.10	46.29 ± 3.03	42.07 ± 2.18
Co-train	66.55 ± 5.77	69.33 ± 4.46	58.18 ± 3.46
Co-reg	41.52 ± 4.31	44.21±3.89	35.36 ± 3.61
MVKKM	70.19 ± 3.73	70.95 ± 3.31	61.61 ± 3.07
AMGL	69.74 ± 7.04	71.81 ± 5.01	$68.35 {\pm} 3.24$
CAMVC	67.88 ± 5.18	71.14 ± 3.49	62.86 ± 2.73
MSPL	50.19 ± 6.01	52.29 ± 4.94	43.30 ± 2.66
SAMVC	65.31 ± 8.82	68.19 ± 8.01	61.57 ± 6.28
DMVC	62.57 ± 10.49	63.67 ± 10.48	58.75 ± 8.67
NSMVC	74.65 ± 5.62	77.14 ± 4.44	66.65±5.00

Table 4: Results on Cornell.

Methods	ACC(%)	Purity(%)	NMI(%)
KM(1)	42.70 ± 2.14	44.96 ± 1.00	8.60 ± 3.36
KM(2)	45.56 ± 5.87	48.56 ± 3.58	12.34 ± 5.42
KM(All)	47.47 ± 6.42	49.69 ± 5.01	13.54 ± 6.96
Co-train	40.62 ± 1.27	46.41 ± 0.88	14.48 ± 1.40
Co-reg	42.39 ± 1.09	44.10 ± 0.36	5.65 ± 2.45
MVKKM	41.64 ± 3.72	44.72 ± 1.03	7.27 ± 1.83
AMGL	42.68 ± 0.40	43.81 ± 0.26	3.74 ± 0.37
CAMVC	44.10 ± 2.74	49.16 ± 2.12	9.81 ± 4.95
MSPL	44.09 ± 3.18	46.19 ± 2.65	8.78 ± 4.62
SAMVC	43.43 ± 0.82	44.69 ± 0.50	6.82 ± 3.11
DMVC	44.30 ± 2.74	46.72 ± 2.82	12.42 ± 3.99
NSMVC	$\textcolor{red}{\textbf{50.62} \pm \textbf{6.45}}$	$60.21 {\pm} 2.76$	$26.56{\pm}3.94$

Table 6: Results on Washington.

Methods ACC(%) Purity(%) NMI(%) KM(1) 49.80±6.84 51.46±6.86 8.44±7.84 KM(2) 57.54±9.77 61.39±9.64 25.36±14.44 KM(All) 58.75±9.40 66.29±8.68 26.23±12.44	l i0 i9
KM(1) 49.80 ± 6.84 51.46 ± 6.86 8.44 ± 7.84 KM(2) 57.54 ± 9.77 61.39 ± 9.64 25.36 ± 14.34 KM(All) 58.75 ± 9.40 66.29 ± 8.68 26.23 ± 12.34	t 50 59
KM(2) 57.54±9.7761.39±9.6425.36±14.5 KM(All) 58.75±9.4066.29±8.6826.23±12.5	50 19
KM(All) 58.75±9.40 66.29±8.68 26.23±12.3	<u>89</u>
Co-train 53.99±2.25 62.93±1.22 19.30±1.7	8
Co-reg 55.97±2.95 58.64±4.19 16.68±3.6	3
MVKKM 48.39±1.85 49.49±1.83 8.65±4.53	5
AMGL 47.26±0.20 48.26±0.00 3.58±0.32	2
CAMVC 58.97±10.57 60.81±10.62 22.53±14.9	9
MSPL 52.67±7.99 54.16±7.95 13.75±11.7	5
SAMVC 52.93±7.95 53.70±8.12 11.39±9.4	3
DMVC 58.45±6.96 62.44±7.54 22.09±9.3	8
NSMVC 57.96±5.82 71.13±3.20 36.20±3.7	9

Table	5: F	lesults	on	Texas.
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Methods	ACC(%)	Purity(%)	NMI(%)
KM(1)	55.51 ± 1.63	57.18 ± 1.25	7.90 ± 4.50
KM(2)	55.56 ± 6.07	60.04 ± 4.61	16.18 ± 10.90
KM(All)	$56.63 {\pm} 5.84$	60.41 ± 5.04	14.63 ± 10.38
Co-train	48.13 ± 2.75	58.00 ± 0.89	14.22 ± 1.78
Co-reg	53.37 ± 2.67	56.04 ± 0.22	4.57 ± 1.89
MVKKM	52.84 ± 5.63	56.84 ± 0.89	7.70 ± 3.71
AMGL	56.13 ± 0.43	56.84 ± 0.25	5.43 ± 0.51
CAMVC	59.23±4.49	60.71 ± 4.42	14.92 ± 9.54
MSPL	56.68±3.85	58.97 ± 2.94	11.30 ± 6.30
SAMVC	56.81 ± 1.38	57.68 ± 1.35	8.54 ± 4.54
DMVC	$56.90 {\pm} 4.29$	59.84 ± 3.24	16.32 ± 7.15
NSMVC	$\textbf{57.81}{\pm}\textbf{4.93}$	$67.17{\pm}1.45$	$25.23{\pm}2.60$

Table 7: Results on Wisconsin.

Methods	ACC(%)	Purity(%)	NMI(%)
KM(1)	$46.44{\pm}2.18$	48.67 ± 1.82	5.69 ± 2.30
KM(2)	59.81±7.92	62.60 ± 8.70	28.97 ± 12.41
KM(All)	58.57±6.93	60.33 ± 7.68	25.95 ± 11.35
Co-train	42.58 ± 1.89	52.57 ± 1.10	8.28 ± 0.83
Co-reg	47.35 ± 0.24	47.76 ± 0.21	4.06 ± 0.37
MVKKM	45.62 ± 2.88	48.03 ± 1.34	6.29 ± 2.22
AMGL	47.09 ± 0.16	47.55 ± 0.00	4.03 ± 0.31
CAMVC	56.49 ± 7.30	59.58 ± 7.88	21.57 ± 9.36
MSPL	55.50 ± 6.85	57.66 ± 6.79	21.87 ± 9.27
SAMVC	49.93 ± 3.13	48.93 ± 3.15	7.04 ± 4.69
DMVC	53.01 ± 6.93	58.73 ± 7.24	19.68 ± 10.17
NSMVC	60.30±4.89	73.70±1.73	$40.48 {\pm} 1.85$





Y. Ren et al, Deep Density-based Image Clustering, KBS, 2020



Deep Density-based Image Clustering

Deep feature learning

$$\arg\min_{\Theta,\Omega} \frac{1}{n} \sum_{i=1}^{n} \|x_i - g_{\Omega}(f_{\Theta}(\tilde{x}_i))\|_2^2$$
$$\arg\min_{\Theta,\Omega} \frac{1}{n} \sum_{i=1}^{n} \|\bar{x}_i - g_{\Omega}(f_{\Theta}(\bar{x}_i))\|_2^2$$

Local clusters generation

 ρ_i

Density :

$$= \sum_{z_j \in \mathcal{Z} \setminus \{z_i\}} \exp\left(-\left(\frac{d_{ij}}{d_c}\right)^2\right)$$

Local cluster centers : $\delta_i > d_c$ and $\rho_j > \bar{\rho}$

 $\delta_i = \min_{j:\rho_j > \rho_i} (d_{ij})$

Merging local clusters

Definition 2. (*Core and border points of a cluster*) Suppose a point z_i is from local cluster $C^{(k)}$, it is defined as a core point if the following condition holds:

$$\rho_j > \bar{\rho}^{(k)} \tag{6}$$

where $\bar{\rho}^{(k)} = \frac{1}{n_k} \sum_{z_j \in \mathcal{C}^{(k)}} \rho_j$ is the average density of all the points in $\mathcal{C}^{(k)}$ and n_k is the number of points in $\mathcal{C}^{(k)}$. Otherwise, z_i is considered as a border point. **Definition 3.** (Density directly-connectable of clusters) A local cluster $\mathcal{C}^{(k)}$ is density directly-connectable from a local cluster $\mathcal{C}^{(l)}$ if:

 $\exists \text{ core points } z_i \in \mathcal{C}^{(k)} \text{ and } z_j \in \mathcal{C}^{(l)}, \text{ such that } d_{ij} < d_c.$

Definition 4. (*Density connectable of clusters*) A local cluster $C^{(k)}$ is density-connectable to a local cluster $C^{(l)}$ if:

$$\exists a \text{ path } \mathcal{C}^{(k)} = \mathcal{C}_1, \mathcal{C}_2, \dots, \mathcal{C}_m = \mathcal{C}^{(l)}$$
(8)

where cluster C_j is density directly-connectable from cluster C_{j-1} (j = 2, ..., m) and m is the path length.

Deep Density-based Image Clustering

	MN	IST	MNIS	T-test	US	SPS	Fas	hion	Lette	erA-J
	ACC	NMI	ACC	NMI	ACC	NMI	ACC	NMI	ACC	NMI
k-means	0.485	0.470	0.563	0.510	0.611	0.607	0.554	0.512	0.354	0.309
DBSCAN			0.114	0	0.167	0	0.1	0	0.1	0
DenPeak			0.357	0.399	0.390	0.433	0.344	0.398	0.300	0.211
DEC	0.849	0.816	0.856	0.830	0.758	0.769	0.591	0.618	0.407	0.374
IDEC	0.881*	0.867*	0.846	0.802	0.759	0.777	0.523	0.600	0.381	0.318
DCN	0.830*	0.810*	0.802*	0.786*	0.688*	0.683*	-	-	-	-
JULE	0.964*	0.913*	0.961*	0.915*	0.950*	0.913*	-	-	-	-
DCC	0.963*	-	-	-	-	-	-	-	-	-
DED			0.690	0.818	0.781	0.855	0.473	0.617	0.371	0.440
ConvDEC	0.940	0.916	0.861	0.847	0.784	0.820	0.514	0.588	0.517	0.536
ConvDEC-DA	0.985	0.961	0.955	0.949	0.970	0.953	0.570	0.632	0.571	0.608
DDC	0.965	0.932	0.965	0.916	0.967	0.918	0.619	0.682	0.573	0.546
DDC-DA	0.969	0.941	0.970	0.927	0.977	0.939	0.609	0.661	0.691	0.629



Deep Multi-view Clustering

Multi-view clustering + Deep learning techniques

Representation	Self-	Adversarial	Contractive	
learning	supervised learning	learning	learning	•••••
```````````````````````````````````````				



#### Self-supervised Discriminative Feature Learning for Multi-view Clustering

Jie Xu, Yazhou Ren*, Huayi Tang, Zhimeng Yang, Lili Pan, Yang Yang, Senior Member, IEEE, Xiaorong Pu

# Adversarial learning

#### Apply generative adversarial networks (GAN) to learn the latent features of multiple views.

#### **Deep Adversarial Multi-view Clustering Network**

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Contrastive learning

Contrastive learning can be used to learn high-level and consistent multi-view features.

**COMPLETER:** Incomplete Multi-view Clustering via Contrastive Prediction

Yijie Lin¹, Yuanbiao Gou¹, Zitao Liu², Boyun Li¹, Jiancheng Lv¹, Xi Peng^{1*} ¹ College of Computer Science, Sichuan University, China. ² TAL Education Group, China.

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#### Our recent work

# Deep Embedded Multi-view Clustering with Collaborative Training (DEMVC, Information Sciences, 2021)

#### Deep Embedded Multi-view Clustering with Collaborative Training

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#### ARTICLE INFO

#### ABSTRACT

Keywords: Deep embedded clustering Multi-view clustering Unsupervised learning Collaborative training Multi-view clustering has attracted increasing attentions recently by utilizing information from multiple views. However, existing multi-view clustering methods are either with high computation and space complexities, or lack of representation capability. To address these issues, we propose deep embedded multi-view clustering with collaborative training (DEMVC) in this paper. Firstly, the embedded representations of multiple views are learned individually by deep autoencoders. Then, both consensus and complementary principles of multiple views are taken into account and a novel collaborative training scheme is proposed. Concretely, the feature representations and cluster assignments of all views are learned collaboratively. A new consistency strategy for cluster centers initialization is further developed to improve the multi-view clustering performance with collaborative training. Experimental results on several popular multi-view datasets show that DEMVC achieves significant improvements over state-of-the-art methods.

#### The framework of DEMVC:



DEMVC applies k-means on one view (the referred view) to obtain an auxiliary target distribution.

This auxiliary distribution is used to refine the deep autoencoders and clustering soft assignments for all views.

Each view will become the referred view in sequence to ensure that the multi-view clustering takes full advantage of all views.

#### Visualization of inputs and outputs:



This indicates DEMVC's good representation capability of sample features and reconstruction capability, which is the premise to improve clustering performance.

#### Visualization by *t*-sne:



Linear complexity make it easy to handle large-scale dataset (e.g. 70,000 examples)

### Quantitative comparison:

	Methods			Noisy-Rotated			ted	MNIST	USPS	Caltech101-20			
	Methods				C	NN	Л	ACC	NMI	ACC	NMI		
	DCCA (ICML 2013)				.00†	92	<b>.00</b> †	97.42*	93.60*	-	46.48*		
Multi-view	DCCAE (ICML 2015)				. <b>50</b> †	93	<b>.40</b> †	<b>98.00</b> *	94.70*	-	45.56*		
	DiMSC	(CVPR 20	015)	/	'	/	/	48.34*	36.02*	-	29.05*		
methods	LMSC	(CVPR 20	17)	/	'		/	78.60*	78.49*	-	<b>63.55</b> *		
	BMVC (TPAMI 2018)			85	.61 81.48		.48	88.68	89.93	47.44	60.28		
	COMIC (ICML 2019)			/	'		/	47.76	64.16	62.32	60.56		
	DEMVC (ours)			99	.87	99	.53	99.83	99.49	56.05	68.87		
Mathada	MNIST-10K		Fa	ashion-10K									
Methods	ACC	NMI	ACC		NMI								
DEC (ICML 2016)	83.41	79.22	56.7	0	) 61.29								
IDEC (IJCAI 2017)	84.25	82.77	57.4	43   61.5		.55							
DCN (ICML 2017)	83.31 [‡]	80.86 [‡]	58.6	7*	59.4	·0‡		Single-view					
DEC-DA (ACML 2018)	97.93	95.81	53.5	5	59.9	)1		methods					
k-SCN (ACCV 2018)	87.14 [‡]	78.15 [‡]	63.7	63.78‡		) <b>4</b> ‡	4 [‡]						
NCSC (ICML 2019)	94.09 [‡]	86.12 [‡]	72.1	4‡	68.6	5 <b>0</b> ‡							
DEMVC-2 views (ours)	99.87	99.60	84.7	5	87.1	4							
DEMVC-3 views (ours)	99.99 99.96 78.99			9	90.8	88							

### Our recent work

# Self-supervised Discriminative Feature Learning for Multi-view Clustering (SDMVC, arXiv, 2021)

#### Self-supervised Discriminative Feature Learning for Multi-view Clustering

Jie Xu, Yazhou Ren*, Huayi Tang, Zhimeng Yang, Lili Pan, Yang Yang, Senior Member, IEEE, Xiaorong Pu

Abstract—Multi-view clustering is an important research topic due to its capability to utilize complementary information from multiple views. However, there are few methods to consider the negative impact caused by certain views with unclear clustering structures, resulting in poor multi-view clustering performance. To address this drawback, we propose self-supervised discriminative feature learning for multi-view clustering (SDMVC). Concretely, deep autoencoders are applied to learn embedded features for each view independently. To leverage the multi-view complementary information, we concatenate all views' embedded features to form the global features, which can overcome the negative impact of some views' unclear clustering structures. In a self-supervised manner, pseudo-labels are obtained to build a unified target distribution to perform multi-view discriminative feature learning. During this process, global discriminative information can be mined to supervise all views to learn more discriminative features, which in turn are used to update the target distribution. Besides, this unified target distribution can make SDMVC learn consistent cluster assignments, which accomplishes the clustering consistency of multiple views while preserving their features' diversity. Experiments on various types of multi-view datasets show that SDMVC achieves state-of-the-art performance. The code is available at https://github.com/Submissionsln/SDMVC.

Index Terms—Deep clustering; Multi-view clustering; Unsupervised learning; Self-supervised learning

#### How to improve multi-view clustering ? How to extract complementary information from multiple views?



The discriminability of different views' clustering structures is different.

The clustering structures of different views can correct each other.

#### The framework of SDMVC:



#### Visualization the features in learning process (BDGP data set) :



The clustering structures of embedded features become clearer and clearer while their centroids are gradually separated.

#### Quantitative comparison:

	MNIST-USPS			Fashion-MV			BDGP			Caltech101-20		
	2 views, $K = 10$			3 views, $K = 10$			2 views, $K = 5$			6 views, $K = 20$		
	5,000 examples			10,000 examples			2,	500 exampl	les	2,386 examples		
Methods	ACC	NMI	ARI	ACC	NMI	ARI	ACC	NMI	ARI	ACC	NMI	ARI
k-means (1967)	0.7678	0.7233	0.6353	0.7093	0.6561	0.5689	0.4324	0.5694	0.2604	0.4179	0.3351	0.2605
SC (2002)	0.6596	0.5811	0.4864	0.5354	0.5772	0.4261	0.5172	0.5891	0.3156	0.4620	0.4589	0.3933
DEC (2016)	0.7310	0.7146	0.6323	0.6707	0.7234	0.6291	0.9478	0.8662	0.8702	0.4268	0.6251	0.3767
IDEC (2017)	0.7658	0.7689	0.6801	0.6919	0.7501	0.6522	0.9596	0.8940	0.9025	0.4318	0.6253	0.3773
BMVC (2018)	0.8802	0.8945	0.8448	0.7858	0.7488	0.6835	0.3492	0.1202	0.0833	0.5553	0.6203	0.5038
MVC-LFA (2019)	0.7678	0.6749	0.6092	0.7910	0.7586	0.6887	0.5468	0.3345	0.2881	0.4221	0.5846	0.2994
COMIC (2019)	0.4818	0.7085	0.4303	0.5776	0.6423	0.4361	0.5776	0.6423	0.4361	0.6232	0.6838	0.6931
SAMVC (2020)	0.6965	0.7458	0.6090	0.6286	0.6878	0.5665	0.5386	0.4625	0.2099	0.5218	0.5961	0.4653
PVC (2020)	0.6500	0.6118	0.4964	_	_	_	0.4724	0.2972	0.2520	_	_	-
DEMVC (2021)	0.9976	0.9939	0.9948	0.7864	0.9061	0.7793	0.9548	0.8720	0.8901	0.5748	0.6781	0.5068
SDMVC (ours)	0.9982	0.9947	0.9960	0.8626	0.9215	0.8405	0.9816	0.9447	0.9548	0.7158	0.7176	0.7265

The clustering performance of SDMVC is better than other methods.

## Our recent work

Multi-VAE: Learning Disentangled View-common and View-peculiar Visual Representations for Multi-view Clustering (ICCV, 2021)

Motivation



- Fusing the representations of multiple views may lead to their negative interference.
- Learning a common feature space may result in the decays of diversity among multiple views.
- So, our motivation is to disentangle the multi-view features into different feature space and learn explainable multi-view representations.

Disentangled Representation Learning 解离/解耦/解纠缠表示学习

view-common variable c view-peculiar variables  $z^{\nu}$ 

$$p(\boldsymbol{x}^{v}, \boldsymbol{z}^{v}, \boldsymbol{c}) = p(\boldsymbol{x}^{v} | \boldsymbol{z}^{v}, \boldsymbol{c}) p(\boldsymbol{z}^{v}, \boldsymbol{c})$$
$$= p(\boldsymbol{x}^{v} | \boldsymbol{z}^{v}, \boldsymbol{c}) p(\boldsymbol{z}^{v}) p(\boldsymbol{c})$$





	Datasets	Multi-COIL-10				Multi-COIL-20				Object-Digit-Product			
	Size	720 samples, 3 views			1,440 samples, 3 views				720 samples, 3 views				
	Metrics	ACC	NMI	ARI	Purity	ACC	NMI	ARI	Purity	ACC	NMI	ARI	Purity
M	<i>K</i> -means (1967)	0.733	0.769	0.648	0.757	0.415	0.645	0.384	0.415	0.326	0.297	0.143	0.337
-vie	DEC (2016)	0.740	0.774	0.656	0.765	0.651	0.784	0.587	0.677	0.317	0.344	0.168	0.334
gle-	IDEC (2017)	0.736	0.772	0.651	0.763	0.657	0.784	0.591	0.679	0.327	0.343	0.167	0.337
Sing	$\beta$ -VAE (2018)	0.598	0.685	0.514	0.632	0.531	0.667	0.450	0.573	0.297	0.278	0.111	0.321
•	JointVAE (2018)	0.649	0.724	0.553	0.681	0.537	0.678	0.456	0.548	0.320	0.254	0.126	0.331
	BMVC (2018)	0.678	0.681	0.530	0.678	0.834	0.900	0.813	0.881	0.810	0.661	0.634	0.810
	RMSL (2019)	0.964	0.925	0.921	0.964	0.665	0.763	0.587	0.691	0.950	0.917	0.906	0.953
ew	MVC-LFA (2019)	0.860	0.868	0.799	0.871	0.801	0.852	0.738	0.802	0.926	0.880	0.849	0.926
-Vi	COMIC (2019)	0.796	0.916	0.729	0.799	0.496	0.770	0.309	0.500	0.201	0.419	0.146	0.203
ulti	SAMVC (2020)	0.667	0.826	0.621	0.729	0.570	0.791	0.554	0.610	0.770	0.826	0.702	0.801
M	DEMVC (2021)	0.891	0.948	0.897	0.900	0.850	0.965	0.860	0.850	0.801	0.901	0.784	0.801
	Multi-VAE-C (ours)	0.900	0.967	0.897	0.900	0.845	0.943	0.842	0.876	0.897	0.942	0.873	0.897
	Multi-VAE-CZ (ours)	0.993	0.989	0.985	0.993	0.980	0.976	0.961	0.980	0.977	0.971	0.954	0.977

Table 1. Comparison results on small-scale datasets. The best and the second best values are highlighted in red and blue, respectively.

	Datasets	Multi-MNIST				Mult-Fashion				Digit-Product			
	Size	70,000 samples, 2 views				10,000 samples, 3 views				30,000 samples, 2 views			
	Metrics	ACC	NMI	ARI	Purity	ACC	NMI	ARI	Purity	ACC	NMI	ARI	Purity
Mi	<i>K</i> -means (1967)	0.539	0.482	0.360	0.577	0.476	0.513	0.348	0.551	0.349	0.346	0.187	0.390
-vie	DEC (2016)	0.875	0.849	0.803	0.875	0.563	0.617	0.451	0.609	0.396	0.408	0.226	0.422
gle-	IDEC (2017)	0.884	0.868	0.826	0.884	0.569	0.625	0.461	0.615	0.402	0.442	0.233	0.433
ing	$\beta$ -VAE (2018)	0.493	0.436	0.291	0.519	0.513	0.510	0.337	0.513	0.343	0.317	0.174	0.385
	JointVAE (2018)	0.641	0.614	0.490	0.651	0.393	0.368	0.246	0.415	0.471	0.435	0.289	0.479
	BMVC (2018)	0.893	0.902	0.856	0.897	0.779	0.756	0.682	0.782	0.548	0.442	0.379	0.570
	RMSL (2019)	—	—	—	_	0.376	0.342	0.204	0.391	-	—	—	_
ew	MVC-LFA (2019)	_	—	_	_	0.782	0.748	0.685	0.784	_	—	_	—
-Vi	COMIC (2019)	—	—	_	_	0.578	0.642	0.436	0.608	-	—	—	_
ulti	SAMVC (2020)	_	—	_	_	0.622	0.688	0.557	0.661	0.649	0.619	0.499	0.674
M	DEMVC (2021)	0.982	0.989	0.986	0.982	0.786	0.903	0.772	0.791	0.798	0.896	0.833	0.798
	Multi-VAE-C (ours)	0.989	0.996	0.989	0.989	0.816	0.856	0.762	0.818	0.853	0.832	0.810	0.853
	Multi-VAE-CZ (ours)	0.999	0.998	0.999	0.999	0.907	0.883	0.839	0.907	0.925	0.934	0.907	0.923

Table 2. Comparison results on large-scale datasets. "-" denotes the unknown result due to high complexity of the corresponding method.





## Our recent work

Deep Incomplete Multi-view Clustering via Mining Cluster Complementarity (AAAI, 2022)

## Introduction

Incomplete multi-view clustering groups the multi-view data containing missing data in some views. Previous methods suffer from the two issues:

(1) the inaccurate imputation or padding for missing data negatively affects the performance.
 (2) the quality of features after fusion might be interfered by the low-quality views, especially for the inaccurate imputed views.

### Motivation

The complementary information across multiple views can be described by nonlinear mappings. To avoid the two issues, we establish a novel framework without imputation and fusion to handle incomplete multi-view data. That is, the missing data does not need to be imputed or padded and the cluster assignments do not depend on the fusion process of multiple views.



#### **Loss function**

 $\mathcal{L}_{rec}$  is the reconstruction objective  $\mathcal{L}_{com}$  is the complementarity objective  $\mathcal{L}_{con}$  is the consistency objective

$$\mathcal{L} = \mathcal{L}_{rec} + \mathcal{L}_{com} + \mathcal{L}_{con}$$
  
=  $\min_{\mathbf{C}, \mathbf{A}, \{\mathbf{Z}^v, \mathbf{U}^v\}_{v=1}^V} \sum_{v=1}^V \|\mathbf{X}^v - f_v^{-1}(\mathbf{Z}^v)\|_F^2$   
+  $\sum_{i \in \mathcal{X}} \sum_{j=1}^K \|\mathbf{h}_i - \mathbf{c}_j\|_2^2 + \sum_{v=1}^V H(\mathbf{P}, \mathbf{Q}^v),$   
s.t.  $\mathbf{P} = \mathcal{M}(\mathbf{H}; \mathbf{C}, \mathbf{A}), \mathbf{A}\mathbf{A}^T = \mathbf{I}_K, \mathbf{Q}^v = \mathcal{M}_v(\mathbf{Z}^v; \mathbf{U}^v)$ 

#### Framework



The results show that our method achieves superior performance.

	Missing rates		0.3	
	Evaluation metrics	ACC	NMI	ARI
	SRLC (Zhuge et al. 2019)	0.697	0.458	0.430
	APMC (Guo and Ye 2019)	0.814	0.589	0.594
•	TMBSD (Li et al. 2021)	0.714	0.597	0.546
5	IMVTSC-MVI (Wen et al. 2021)	0.934	0.816	0.844
<b>S</b> D	DiMVMC (Wei et al. 2020)	0.730	0.677	0.565
<b>H</b>	CDIMC-net (Wen et al. 2020)	0.757	0.692	0.467
	COMPLETER (Lin et al. 2021)	0.552	0.511	0.255
	DIMVC (ours)	0.954	0.866	0.889
	SRLC (Zhuge et al. 2019)	0.453	0.566	0.311
	APMC (Guo and Ye 2019)	0.437	0.600	0.302
ų	TMBSD (Li et al. 2021)	0.415	0.615	0.281
tec	IMVTSC-MVI (Wen et al. 2021)	0.590	0.668	0.445
Cal	DiMVMC (Wei et al. 2020)	0.358	0.521	0.301
	CDIMC-net (Wen et al. 2020)	0.443	0.439	0.265
	COMPLETER (Lin et al. 2021)	0.741	0.690	0.835
	DIMVC (ours)	0.761	0.697	0.842

The learned features of all available data have the similar cluster structures.





# 相关工作

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